

### REQUEST FOR PROPOSAL

NEW 401K PLAN
FOR MANAGED CARE MEASURES, LLC
AND ITS AFFILIATED ENTITIES
(Healthcare Management Consulting, LLC
and e-Content, LLC)

#### **CONFIDENTIALITY NOTICE**

TO THE RECIPIENT: THIS INFORMATION IS STRICTLY CONFIDENTIAL.

If you accept this information or retain it in your possession, you will be automatically agreeing as follows:

- This information is being given and disclosed to you for your review and use only in connection with a potential business relationship or transaction with Managed Care Measures, LLC and/or its affiliates, and only for the purpose of evaluating the potential relationship or transaction.
- 2. You (i) will keep this information in a safe and secure place and (ii) will restrict access only to those who need to know this information to evaluate the potential relationship or transaction.
- 3. You will not (i) copy, disclose or disseminate this information directly or indirectly or purposely or carelessly to anyone for any reason other than to persons who need to know this information in connection with the potential relationship or transaction, or (ii) use this information for your direct or indirect advantage, or for the direct or indirect advantage of any other person or entity.

# REQUEST FOR PROPOSAL NEW 401K PLAN

To: Interested 401k Vendors  From: Senior Vice President and General Counsel  Date:
BACKGROUND
Managed Care Measures, LLC (and its affiliated entities, Healthcare Management Consulting, LLC and e-Content, LLC) now offers employees a 401k Plan through ING.
MCM is interested in exploring whether better 401k vehicles are now available for its employees. The purpose of this Request is to obtain detailed, written proposals that will allow management to understand and meaningfully compare its options—as to costs, ease of transition, and available investments.
To that end, we are enclosing the following materials relating to the current ING Plan (all of which are being given to you subject to the Confidentiality Notice appearing on the front page of this Request):
• Plan Specifications.
• Summary Plan Description (Restated
Multiple Asset Portfolio (MAP) V Allocated Group Annuity Contract.
Adoption Agreement.
• A statement of the value of the entire account as of breakdown of value for each participant as of that date.
• An employee census as of
THE REQUEST

# <u>Please answer each of the following questions, in detail (attach additional sheets if necessary).</u>

1. What other 401K vehicles can you now make available to MCM based on an identical plan design (such as eligibility, enrollment, future flexibility to match, scalability)?

2.	a.	What investments options would be available under the new plan?
	b.	What is the historical performance for each of these investments for year to date, 1 year, 5-year, and 10-year (or since inception)?
	c.	What are the risk profiles for each of these investments?
	d.	How are these investment vehicles rated by Morningstar (or other recognized rating service)?
	e.	If all of the available investment vehicles come from one company, should we be concerned about that?
		possible, please offer a side-by side comparison based on the attached list of the ING- ered investments.
2.		s what you are offering inherently better than the ING variable-annuity vehicle? Is it cost, or control, investor reporting and access to information, product performance or something
3.	•	we keep our current plan in place, but simply change the investment vehicles? (If not, we want to at least mirror our existing plan.)
4.	Our cu	rrent TPA (Paragon) is excellentcan we keep them under a new plan?

	b.	Who will be responsible for handling these details? You or us?						
6.	a.	What are the total, "all in" costs of setting up the new plan or relationship (including, as applicable, those for ending our current TPA and ING relationships)?						
	b.	Who is responsible for paying these costs?						
7.		MCM is expected to pay the costs of changing to a new plan, how do the advantages of the new n offset the cost we will incur to undo our current plan, and set up the new one?						
8.	Wł	nat are the fees for the new TPA (if applicable)?						
9.	Wł	nat are the annual plan expenses?						
10.	Wi	ll participants be able to track their investments online?						
RESPONDING TO THE REQUEST								
Ple	ase	respond in writing by ; send your response by mail, fax or e-mail						
Ple	ase	also attach or include all materials that illustrate your answers or that would be otherwise						

5. a. What is the process for making a transition to the new plan?

helpful in deciding who will earn our business.

## AETNA LIFE INSURANCE AND ANNUITY COMPANY SMALL BUSINESS MAP V

Variable Annuity Account D, Group Annuity Contract

INVESTMENT CLASS	СО	INVESTMENT	RISK	YTD	1-YR	5-YR	10-YR/INC	RATING
	ING	ING VP International Equity Portfolio – Class R	Α					
Global/ International Growth	ING	Janus Aspen Series Worldwide Growth Portfolio – Inst Shares	A					
	ING	ING VP Small Company Portfolio – Class R	Α					
Aggressive Growth	ING	ING Salamon Brothers Aggressive Growth – Initial Class	А					
	ING	AIM V.I. Premier Equity Fund – Series I Shares	M					
	ING	Fidelity VIP Contrafund Portfolio – Initial Class	M					
Growth	ING	ING T. Rowe Price Growth Equity Portfolio – Initial Class	M					
	ING	ING VP Growth and Income – Class R	M					
Growth & Income (Stocks)	ING	ING VP Index Plus Large Cap Portfolio – Class R	M					
	ING	ING T. Rowe Price Growth Equity Portfolio – Initial Class	M					
	ING	ING VP Strategic Allocation Growth Portfolio – Class R	A					
Asset Allocation	ING	ING VP Strategic Allocation Income Portfolio – Class R	С					
	ING	ING VP Bond Portfolio – Class R						
Income	ING	ING Fixed Account	С					
Stability of Principal	ING	ING VP Money Market Portfolio – Class R	С					